



IPO Plus Aftermarket Fund

IRA TRANSFER FORM

Questions? Call us toll-free 1-888-476-3863.

Use this Transfer Form when redeeming and transferring funds from an existing IRA to an IPO Plus Aftermarket Fund IRA. Complete Sections 1 through 6 of this Transfer Form and mail it to the address below. If you are establishing a new IRA you must also complete an IRA Application and include it with this IRA Transfer form. For assistance in completing this IRA Transfer Form, or if you have any questions, please call us toll-free at 1-888-476-3863.

1. Current Custodian and IRA Information

PLEASE TRANSFER MY IRA FROM

Name of current Custodian

Street or P.O. Box

City State Zip

Telephone Number

Fund Name (or type of investment if not a fund)

Account Number or Certificate of Deposit

2. Investor Information

Name

Street or P.O. Box

City State Zip

E-mail

Birth date Social Security Number

Daytime Telephone Evening Telephone

Please transfer: Immediately At Maturity* _____
Maturity Date

* Penalties may be assessed by your current Trustee/Custodian for early withdrawal.

Please attach a copy of your current account statement.

Please check with your current Trustee/Custodian to determine if a signature guarantee is required to process this transfer. You will receive a confirmation from us when the transfer has been completed and your funds have been invested in the IPO Plus Fund.

Type if Plan you have now:

- Traditional IRA
- Rollover IRA
- Roth IRA
- Roth Conversion
- SEP IRA
- Simple IRA
- From another Employer-Sponsored Plan (401 (k), 403 (b), Keogh)

Type of plan

Type if Plan you are transferring to:

- Traditional IRA
- Rollover IRA
- Roth IRA**
- Roth Conversion**
- SEP IRA

** You can only place conversion amounts into a Roth Conversion IRA. If you would like to commingle contributions, please check the "Roth IRA" box above.

If you are age 70 1/2 or older, you may need to take your required minimum annual distribution from your present IRA with the current Trustee/Custodian before transferring your retirement assets to the IPO Plus Fund. Consult your tax advisor regarding your distribution requirements.

Mail this form in the postage-paid envelope, or to: **The IPO Plus Fund**
c/o Gemini Fund Services, LLC
4020 South 147th Street, Suite 2
Omaha, NE 68137

Continued on reverse



The IPO Plus Aftermarket Fund

Mail completed IRA Transfer Form in postage-paid envelope or to:

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Omaha, NE 68137

Questions?
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1-888-476-3863

3. Investment Instructions

Please invest proceeds in:

- a new IPO Plus Aftermarket Fund IRA ‡
my existing IPO Plus Aftermarket Fund IRA
Account number

‡ Please complete and include an IRA Application

4. Withholding election for a conversion to a Roth IRA

Complete this section only if you are converting assets to a Roth IRA or Roth Conversion IRA

IRS regulations require the Custodian of the IRA from which you are converting to withhold federal income taxes at the rate of 10% from the converted amount unless you elect not to have withholding apply.

- I do not want federal income tax withheld from distributions from this account.
I want federal income tax of 10% withheld from distributions from this account.
I want federal income tax of % (greater than 10%) withheld from distributions from this account.

5. Acceptance

This portion is to be completed by First National Bank custodian for the IPO Plus Aftermarket Fund.

Acceptance: Please be advised that First National Bank has been appointed to serve as successor Custodian of this IRA. Please send the check representing the liquidation of the investments indicated along with a copy of this form to identify the check as a transfer of assets to:

IPO Plus Aftermarket Fund
c/o Gemini Fund Services, LLC
4020 South 147th Street, Suite 2
Omaha, NE 68137

Authorized Signature Date

If you prefer to wire funds directly, please call toll-free 1-888-476-3863 for further instructions.

6. Signatures

(exactly as registered)

To Current Trustee or Custodian:

Please consider this your authority to sell: Entire balance OR \$ OR %

Of my assets in the account identified in Section 1 and prepare a check made payable to The IPO Fund. It is my intention to transfer these assets to an IRA account with the IPO Plus Aftermarket Fund for which First National Bank acts as Custodian.

I certify that I have received and read the Prospectus for the IPO Plus Aftermarket Fund.

X
Investor's Signature Date

Signature guarantee*

*A signature guarantee is a common requirement when transferring IRA assets. Please check with your current Trustee/Custodian to determine if you will need to have your signature guaranteed.