



IPO Plus Aftermarket Fund

Mail check along with completed application in the postage-paid envelope to:

IPO Plus Fund
4020 South 147th Street, Suite 2
Omaha, NE 68137

NEW ACCOUNT APPLICATION

You can open your account **faster** by visiting www.IPOhome.com



For assistance in completing this application, or if you have any questions, please call us toll-free: 1-888-476-3863. Do not use this application for an IRA Investment. Please call us for an IRA Application. To avoid delays in processing your application, **please complete** all appropriate and required sections*.

Account Registration

Individual _____
Owner's Name Social Security Number (required) Birth date (required)

or

Joint** _____
Joint Owner's Name Social Security Number (required) Birth date (required)

*(**Joint tenants with rights of survivorship, unless stated otherwise)*

Custodial _____
Custodian's Name Minor's Name

Gift/Transfer to Minor

Minor's Social Security Number (required) Minor's Birth date (required) Minor's State of Residence

Trust*** _____
Trustee's Name (required) Additional Trustee (if applicable)

Name of Trust (required) Date of Trust (required) Tax ID Number (required)

***** Please attach a copy of the trust instrument.**

Corporation or Other entity**** _____
Name of Corporation or Entity Type of Entity Tax ID Number

******Please attach a certified copy of the articles of incorporation or equivalent.**

Mailing Address

Street Address (required) City State Zip

Mailing Address (if different from above) City State Zip

Daytime Phone Evening Phone

Duplicate confirmations or **broker information** *(if applicable)*

Name Street Address

City State Zip

Initial Investment

Minimum initial investment is **\$5,000**

Make check payable to: **The IPO Plus Fund** \$ _____

Minimum initial investment is reduced to \$1,000 if you also establish a monthly **Automatic Investment Plan** (see section on reverse side).

***IMPORTANT:** To comply with the US Patriot Act, we are required to obtain, verify, and record information that identifies each person who opens an account. When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you. We may also ask to see other identifying documents. Any documents or information that we gather in the verification process will be maintained in a confidential manner.

Continued on reverse



The IPO Plus Aftermarket Fund

Mail completed application with your check to:

IPO Plus Fund
4020 South 147th Street, Suite 2
Omaha, NE 68137

Questions?
Call toll-free
1-888-476-3863

Information Delivery

To obtain your account statements, the IPO Plus Fund's prospectus and updates online instead of in paper form, please provide us with your e-mail address. After your account is opened, we will send you an e-mail with instructions on how to sign up for electronic information delivery.

E-mail address: _____

Automatic Investment Plan

The minimum initial investment is reduced to \$1,000 if you also establish a monthly Automatic Investment Plan.

The Automatic Investment Plan allows you to make monthly investments (minimum \$100) in your IPO Plus Fund account through a withdrawal from your bank account. Please indicate the dollar amount and date. If no date is chosen, investments will be made on the 20th of each month. If the investment date falls on a weekend or holiday, the investment will be made on the first business day thereafter. Your first automatic investment will begin no sooner than 15 calendar days after receipt of this application. Please also check one of the boxes in the Bank Information section below.

Investment Amount \$ _____ Frequency: [] Monthly [] Quarterly [] 5th [] 10th [] 15th [] 20th [] 25th
minimum \$100

Bank Information

For electronic delivery of funds from automatic investments, telephone instructions, and online transactions, authorized by you, please check one of the boxes below. If neither box is checked, you may need a signature guaranteed letter of instructions to add bank information in the future:

- [] Please use the bank information from the enclosed voided check (blank check with VOID written across it).
[] Do not add bank information to my account. I decline online transaction privileges and electronic delivery privileges for automatic investments and telephone transactions. I understand that I may need a signature guaranteed letter of instructions to add these privileges in the future.

Signature and Authorization

By signing below:

- I certify that I have received and read the current IPO Plus Aftermarket Fund (IPO Plus Fund) prospectus and agree to be bound by its terms and conditions, as it may be amended from time to time. I certify that I have the authority and legal capacity to make this purchase and that I am of legal age in my state of residence.
I authorize the IPO Plus Fund and its agents to act upon instructions (by phone, online, in writing or by other means) believed to be genuine and in accordance with procedures described in the prospectus, as it may be amended from time to time, for this account. I agree that neither the IPO Plus Fund nor the transfer agent will be liable for any loss, cost, or expense for acting on such instructions. Such entities will employ reasonable procedures to confirm that instructions communicated by phone are genuine, and may be liable for losses due to unauthorized or fraudulent instructions only if such procedures are not followed.
I authorize the IPO Plus Fund and its agents to issue credits to and make debits from the bank account on the attached blank voided check. I agree that the IPO Plus Fund and its agents will be fully protected in honoring any such transaction. I also agree that the IPO Plus Fund and its agents may make additional attempts to debit/credit my account if the initial attempt fails, due to the nature of the bank account participating in electronic transfer, or otherwise and that I will be liable for any associated cost or delay including any charges in the net asset value while waiting until funds are good, and that the IPO Plus Fund will price my purchase at the net asset value next determined after the IPO Plus Fund receives good funds.
I authorize the Fund and its agents to reinvest all income dividend and capital gain distributions in the IPO Plus Fund.

Under penalty of perjury, I certify that:

- 1. The Social Security Number or Taxpayer Identification Number shown on this application is correct and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding; or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends; or (c) the IRS has notified me that I am no longer subject to backup withholding (cross out item 2 if you have been notified by the IRS that you are currently subject to backup withholding) and,
3. I am a U.S. person (including a U.S. resident alien).

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding. All owners/trustees must sign. For Custodial accounts, the Custodian should sign.

X
Signature of Individual Owner, Trustee, Custodian, Corporate Officer, Partner, etc. Date

X
Signature of Joint Owner, Trustee, Custodian, Corporate Officer, Partner, etc. (if applicable) Date